

## WHEN IS A LOTS DENSELY ORDERABLE?

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We obtain sufficient conditions for a linearly ordered topological space (LOTS) to be densely orderable. As a consequence we obtain a characterization of those metrizable LOTS with connected ordered compactifications.

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### 1. Introduction

A well-known result states that the weight  $w$  of a linearly ordered topological space (LOTS) is equal to the sum of the density  $d$  and the number of jumps  $j$ . Thus if  $j > d$ , then  $w = j$  and hence  $j$  is an order-topological invariant of the space in the sense that any other order which induces the same topology will have the same number of jumps. On the other hand, if  $j \leq d$  (in particular if  $j = \aleph_0$ ) then this is not necessarily the case—for example, the space of rationals  $Q$  with the order topology is homeomorphic to  $Q \times \{0, 1\}$  with the lexicographic order topology. In this paper we will obtain conditions under which a LOTS can be reordered without jumps, that is to say under which there exists a dense order inducing the same topology.

### 2. Some combinatorial preliminaries

Recall that a digraph is a pair  $(V, A)$  where  $V$  is a set and  $A$  is a binary relation on  $V$ . If  $D = (V, A)$  is a digraph and  $\pi$  is a partition of  $V$ , we write  $u \equiv w \pmod{\pi}$  to mean that  $u, w \in V$  lie in the same equivalence class of  $\pi$ . We further define

$$A(\pi, 0) = \{(u, w) \in A : u \equiv w \pmod{\pi}\}, \quad A(\pi, 1) = A - A(\pi, 0),$$

$$D(\pi, 0) = (V, A(\pi, 0)) \text{ and } D(\pi, 1) = (V, A(\pi, 1)).$$

Let  $(I, <)$  be a directed set,  $D = (V, A)$  a digraph and  $\{D_i = (V, A_i) : i \in I\}$  a family of digraphs. We will say that  $D_i$  converges to  $D$  (and write  $\text{Lim } D_i = D$  or  $D_i \rightarrow D$ ) if for each  $u, w \in V$ , there exists  $i(u, w) \in I$  such that

- (a)  $(u, w) \in A$  and  $j \geq i(u, w)$  then  $(u, w) \in A_j$ , or
- (b)  $(u, w) \notin A$  and  $j \geq i(u, w)$  then  $(u, w) \notin A_j$ .

If  $D_i \rightarrow D$  then we say that  $D$  is the limit of the net  $\{D_i : i \in I\}$ , and we note that the limit is unique. We omit the easy proofs of Lemmas 2.1, 2.2 and Theorem 2.3.

**2.1. Lemma.** A net  $\{D_i : i \in I\}$  of digraphs is convergent if and only if for each  $u, w \in V$  there exists  $i(u, w) \in I$  such that

- (a)  $j \geq i(u, w)$  implies that  $(u, w) \in A_j$ , or
- (b)  $j \geq i(u, w)$  implies that  $(u, w) \notin A_j$ .

**2.2. Lemma.** Let  $\{D_i = (V, A_i) : i \in I\}$  be a net of digraphs and  $\pi$  any partition of  $V$ . Then  $\{D_i : i \in I\}$  is convergent if and only if  $\{D_i(\pi, 0) : i \in I\}$  and  $\{D_i(\pi, 1) : i \in I\}$  are convergent; moreover,  $\text{lim } D_i = \text{lim } D_i(\pi, 0) \cup \text{lim } D_i(\pi, 1)$ .

**2.3. Theorem.** Suppose that  $D_i \rightarrow D$  then: If  $D_i$  is a poset (respectively, a linearly ordered set) for each  $i \geq i_0$ , then  $D$  is a poset (respectively, linearly ordered set); moreover, if  $S$  is a convex subset of  $D_i$  for each  $i \geq i_0$ , then  $S$  is convex in  $D$ .

**2.4. Theorem.** Let  $(I, <)$  be a directed set,  $\{D_i = (V, A_i) : i \in I\}$  a net of digraphs,  $\{\pi_i : i \in I\}$  a monotone family of partitions of  $V$  (that is a family of partitions with the property that  $\pi_j$  refines  $\pi_i$  whenever  $j \geq i$ ) and  $\pi$  the smallest common refinement of the  $\pi_i$ . If

- (a)  $j > i$  implies that  $D_j(\pi_i, 1) = D_i(\pi_i, 1)$  and
- (b)  $\{D_i(\pi, 0) : i \in I\}$  is convergent,

then  $\{D_i : i \in I\}$  is convergent.

**Proof.** Because of condition (b) and Lemma 2.2 we need only show that  $\{D_i(\pi, 1) : i \in I\}$  is convergent. If  $u \equiv w \pmod{\pi}$ , then for each  $j$  we have  $(u, w) \notin A_j(\pi, 1)$ . On the other hand if  $u \not\equiv w \pmod{\pi}$  then there exists  $i(u, w)$  such that  $u \not\equiv w \pmod{\pi_{i(u, w)}}$ . If  $j \geq i(u, w)$  then clearly  $u \not\equiv w \pmod{\pi_j}$ . Condition (a) implies that  $(u, w) \in A_j(\pi_{i(u, w)}, 1)$  if and only if  $(u, w) \in A_{i(u, w)}(\pi_{i(u, w)}, 1)$  and so  $(u, w) \in A_j(\pi, 1)$  for each  $j \geq i(u, w)$  if and only if  $(u, w) \in A_{i(u, w)}(\pi, 1)$ . Thus the hypothesis of Lemma 2.1 is satisfied and so  $\{D_i(\pi, 1) : i \in I\}$  is convergent.

Both the above theorems were used (implicitly) in the construction in [3].

**2.5. Theorem.** If condition (b) in Theorem 2.4 is replaced by

- (b') If  $j > i$  implies  $D_j(\pi_j, 0) = D_i(\pi_j, 0)$

then again  $\{D_i : i \in I\}$  is convergent.

**Proof.** By virtue of Theorem 2.4 it suffices to show that  $\{D_i(\pi, 0): i \in I\}$  is convergent. If  $u \not\equiv w \pmod{\pi}$  then  $(u, w) \notin A_j(\pi, 0)$  for each  $j$ . On the other hand, if  $u \equiv w \pmod{\pi}$  then fix  $i$  and let  $j > i$ . From condition (a) we have that  $(u, w) \in A_j(\pi_j, 0)$  if and only if  $(u, w) \in A_i(\pi_j, 0)$  and so  $(u, w) \in A_i(\pi, 0)$  if and only if  $(u, w) \in A_i(\pi, 0)$ . Thus the hypothesis of Lemma 2.1 is fulfilled and we can conclude that  $\{D_i(\pi, 0): i \in I\}$  is convergent.  $\square$

**Remark.** When the directed set  $I$  is the set  $\omega$  of integers then it is easy to see that condition (a) of Theorem 2.4 and condition (b') of Theorem 2.5 can be replaced by the following:

- (i)  $D_{n+1}(\pi_n, 1) = D_n(\pi_n, 1)$ , and
- (ii)  $D_{n+1}(\pi_{n+1}, 0) = D_n(\pi_{n+1}, 0)$  respectively.

Conditions (i) and (ii) will be needed in Section 3.

### 3. Disposing of jumps

Recall that a jump in a LOTS  $(X, <)$  is a pair of  $<$ -consecutive elements of  $X$  and a gap in  $X$  is a pair  $(A, B)$  of non-empty subsets of  $X$  such that

- (1)  $A \cup B = X$ ,
- (2) if  $x \in A$  and  $y \in B$  then  $x < y$  and
- (3)  $A$  has no supremum and  $B$  no infimum in  $X$ .

If we denote the Dedekind completion of  $X$  by  $DX$ , then the gaps of  $X$  correspond precisely to the elements of  $DX - X$ . In what follows, when we make a topological statement about the gaps of  $X$  we are actually making a statement about the subspace  $DX - X$  of  $DX$ . We begin this section by obtaining a necessary and sufficient condition for a LOTS with a single jump to be reorderable with a dense order. The proof of Theorem 3.1 is based on an old result from the folklore that a rational open interval is homeomorphic to a rational closed interval.

**3.1. Theorem.** *Let  $J_1$  be the jumps of a LOTS  $(X, <_1)$ ,  $\{a, a^+\} \in J_1$  and suppose  $\bigcup J_1 \subset \text{cl}_{DX}(DX - X)$ . There exists an order  $<_2$  on  $X$  which induces the same topology, whose set of jumps  $J_2 = J_1 - \{\{a, a^+\}\}$  and in which neither  $a$  nor  $a^+$  are extreme elements of  $X$  if and only if there exist disjoint non-empty open and closed subsets of  $X - \{a, a^+\}$ ,  $C_1, C_2, C_3$  and  $C_4$  such that*

- (1)  $C_1 \cup C_2 \cup \{a\}$  is a neighbourhood of  $a$  and  $C_3 \cup C_4 \cup \{a^+\}$  is a neighbourhood of  $a^+$ .
- (2)  $\sup C_1 = \sup C_2 = a$  and  $\inf C_3 = \inf C_4 = a^+$  (in the order  $<_1$ ).
- (3) For each  $j \in J$ ,  $j \cap C_i \neq \emptyset$  implies  $j \subset C_i$  ( $i = 1, 2, 3, 4$ ).

**Proof.** Suppose sets  $C_1$  and  $C_2$  exist satisfying (1), (2) and (3); it is clear that  $C_1$  and  $C_2$  are contained in the initial  $<_1$ -interval  $(\leftarrow, a)$ . The sets  $C_1$  and  $C_2$  are unions

of maximal disjoint convex open and closed sets, and it follows from (3) that the suprema and infima of these convex sets are gaps. Let  $U$  be an open and closed convex subset of  $C_1 \cup C_2 \cup \{a\}$  whose infimum is a gap and whose supremum is  $a$ . We define a new order  $<'$  on  $U$  as follows: If  $x_i \in C_i$  then  $x_1 <' a <' x_2$ ; if  $x, y \in C_1$  then  $x <' y$  if and only if  $x < y$ ; if  $x, y \in C_2$ ,  $x < y$  then  $x <' y$  if  $x$  and  $y$  lie in the same member of the family of convex open and closed intervals whose union is  $C_2$  and  $y <' x$  otherwise. This clearly defines  $<'$  on  $U$ . After a similar reordering of some neighbourhood  $V$  of  $a^+$  we obtain a new order  $<_2$  on  $X$  by letting  $<_2$  coincide with  $<_1$  on  $(U \cup V)^c$ , with the new orders on  $U$  and  $V$  and preserving the relative order of  $U$  and  $V$  in  $X$ .

Conditions (1) and (2) ensure that the topology is preserved and (3) implies that no new jumps are created.

Conversely, suppose now that there exists an order  $<_2$  on  $X$  which induces the same topology on  $X$  and whose set of jumps  $J_2 = J_1 - \{a, a^+\}$ . We claim that there exists a  $<_2$ -convex neighbourhood  $U$  of  $a$  such that if  $x \in U$  then  $x <_1 a$ , for if not, then for each such  $U$  we can choose  $a_U \in U$  and  $a_U >_1 a$ . Clearly the net  $a_U \rightarrow a$  which is a contradiction since  $a_U \geq_1 a^+$ . Now choose  $x, y \in U$  so that  $x <_2 a <_2 y$  and consider the  $<_2$ -intervals  $(x, a]$  and  $[a, y)$ . Neither of these sets can be connected otherwise they would be (up to inversion) uniquely orderable (for example, see [2, p. 5]) and hence  $a \notin \text{cl}(DX - X)$ . Thus both intervals must contain a gap or a jump. If one of them,  $(x, a]$  say, contains a jump  $\{b, b^+\} \in J_2$  then since  $\bigcup J_1 \subset \text{cl}_{DX}(DX - X)$  it follows that each neighbourhood of  $b$  and  $b^+$  contains a gap; thus  $(x, a]$  also contains a gap. Let  $s, t$  be gaps in  $(x, a]$  and  $[a, y)$  respectively and set  $C_1 = (s, a)$  and  $C_2 = (a, t)$ . Clearly  $C_1$  and  $C_2$  are  $<_2$ -convex subsets of  $X - \{a\}$ , and since  $a \in \text{cl } C_1 \cap \text{cl } C_2$  it follows that  $<_1 - \sup C_1 = <_1 - \sup C_2 = a$ . Furthermore,  $C_1 \cup C_2 \cup \{a\}$  is a neighbourhood of  $a$  and if there exists some  $j \in J_1$  such that  $j \cap C_i \neq \emptyset$  and  $j \not\subset C_i$  then since  $C_i$  is  $<_2$ -convex it follows that  $j \notin J_2$  which is a contradiction.  $\square$

**3.2. Theorem.** *Let  $J$  be the set of jumps of a LOTS  $(X, <)$ ,  $\{a, a^+\} \in J$  and suppose  $\bigcup J \subset \text{cl}_{DX}(DX - X)$ . There exist disjoint non-empty open and closed subsets of the initial  $<$ -interval  $(\leftarrow, a)$  satisfying the conditions*

- (1)  $C_1 \cup C_2 \cup \{a\}$  is a neighbourhood of  $a$ ,
- (2)  $\sup C_1 = \sup C_2 = a$ ,
- (3) if  $j \in J$  and  $j \cap C_i \neq \emptyset$  then  $j \subset C_i$  ( $i = 1, 2$ ),

*if and only if there is a closed (in  $DX - X$ ) set of gaps in  $(\leftarrow, a)$  whose only accumulation point in  $X$  is  $a$ .*

**Proof.** Suppose such  $C_1$  and  $C_2$  exist. Each of them is the union of maximal disjoint open and closed convex sets whose only accumulation point is  $a$ ; say  $C_1 = \bigcup \mathcal{A}_1$  and  $C_2 = \bigcup \mathcal{A}_2$ . For some regular cardinal  $\lambda$  we can choose  $\{A_\alpha : \alpha < \lambda\} \subset \mathcal{A}_1$  such that

- (1)  $A_\alpha = (l_\alpha, r_\alpha)$  where  $l_\alpha$  and  $r_\alpha$  are gaps for each  $\alpha < \lambda$ ,

(2)  $l_\alpha > r_\beta$  if  $\alpha > \beta$ ,

(3)  $\sup\{r_\alpha : \alpha < \lambda\} = a$ ,

Let  $L = \{l_\alpha : \alpha < \lambda\}$ . It is easy to see that  $\text{cl}_{DX} L - \{a\}$  is the required closed set of gaps whose supremum is  $a$ .

Conversely suppose  $L$  is a closed (in  $DX - X$ ) set of gaps in  $(\leftarrow, a)$  whose only accumulation point in  $X$  is  $a$ , say  $L = \{l_\alpha : \alpha \in I\}$ . In  $L$  choose a well-ordered increasing closed subset  $M = \{l_\alpha : \alpha < \rho\}$ , whose supremum is  $a$ .

Let  $A_\alpha = \{(l_\alpha, l_{\alpha+1}) : \alpha < \rho \text{ and } \alpha = \beta + 2n \text{ for some } n \in \omega \text{ and limit ordinal } \beta\}$ .

Let  $C_1 = \bigcup \{A_\alpha : \alpha < \rho\}$  and  $C_2 = (\leftarrow, a) - C_1$ . It is easy to check that  $C_1$  and  $C_2$  satisfy conditions (1), (2) and (3).  $\square$

**Corollary.** *Let  $J_1$  be the set of jumps of a LOTS  $(X, <_1)$ ,  $\{a, a^+\} \in J_1$  and suppose  $\bigcup J_1 \subset \text{cl}_{DX}(DX - X)$ . There exists an order  $<_2$  on  $X$  inducing the same topology and whose set of jumps  $J_2 = J_1 - \{\{a, a^+\}\}$ , and in which neither  $a$  nor  $a^+$  is an extreme point of  $(X, <_2)$  if and only if there exist closed (in  $DX - X$ ) sets of gaps in  $(\leftarrow, a)$  and in  $(a^+, \rightarrow)$  whose only accumulation points in  $X$  are respectively  $a$  and  $a^+$ .*

Let us say that a LOTS  $(X, <)$  has Property (\*) if each of its jumps satisfies the hypothesis of Corollary 3.2. The proof of the following theorem is now obvious.

**3.3. Theorem.** *Let  $(X, <)$  be a LOTS with Property (\*) and whose set of jumps is discrete, then there exists a dense order on  $X$  inducing the same topology (and hence  $X$  has a connected ordered compactification).*

However, not every LOTS with Property (\*) can be reordered with a dense order, as an example, consider  $(R - Q) \times \{0, 1\}$  with the lexicographic order. This space is separable but has continuum-many jumps and hence its weight is  $\exp \aleph_0$ . Thus any compactification of  $X$  would also be separable and of weight  $\geq \exp \aleph_0$ . Again using the relation  $w = d + j$  in a LOTS, it follows that any ordered compactification of  $X$  must have at least  $\exp \aleph_0$  jumps. We now turn to the case of a LOTS with a countable number of jumps. We have seen in Corollary 3.2 that Property (\*) is a necessary condition for there to be a dense order on  $X$  which induces the same topology. We impose one further restraint in order to obtain a sufficient condition.

Let  $(X, <)$  be a LOTS. We define an equivalence relation  $\simeq$  on  $X$  as follows:  $x \simeq x$  and if  $x < y$  then  $x \simeq y$  if and only if there is no element  $a$  of a jump of  $X$  such that  $x \leq a < a^+ \leq y$ .

If  $E$  is a  $\simeq$ -equivalence class then it is clear that  $E$  is convex and  $\sup E$  and  $\inf E$  (taken in  $DX$ ) are of one of the following types:

A: a gap of  $X$ ,

B: an element of a jump of  $X$ ,

C: an element of  $X$  which does not belong to any jump.

We can now prove the main theorem of this paper.

**3.4. Theorem.** *Let  $(X, <)$  be a LOTS with Property  $(*)$  and whose set of jumps  $J$  is countable. If the set of non-trivial equivalence classes with an extreme point of type  $C$  is countable then there is a dense order which induces the topology of  $X$ .*

**Proof.** We enumerate the set of jumps  $J = \{j_n : n \in \omega\}$ , where  $j_n = \{a_n, a_n^+\}$  and the set of equivalence classes with at least one extreme point of type  $B$  or  $C$ ,  $\mathcal{C} = \{E_n : n \in \omega\}$ . We will “fill in” the jumps one by one using the method of Theorem 3.1 ensuring that a dense limiting order exists which induces the same topology on  $X$ .

*Step 1:* Using the method of Theorem 3.1 we “fill in” the jump  $j_1$  by choosing disjoint non-empty open and closed subsets  $C_1^1, C_2^1, C_3^1$  and  $C_4^1$  of  $X - j_1$  and defining a new order  $<_1$  on  $X$  as before. Each set  $C_k^1$  ( $k = 1, \dots, 4$ ) is the union of maximal disjoint open and closed  $<$ -convex subsets of  $X$ , say  $C_k^1 = \bigcup \mathcal{A}_k^1$ , whose only accumulation point in  $X$  is  $a_1$  or  $a_1^+$ . As in Theorem 3.1 we can assume that  $C_1^1 \cup C_2^1$  and  $C_3^1 \cup C_4^1$  are  $<$ -convex sets and we further restrict the selection of the sets  $C_k^1$  ( $k = 1, \dots, 4$ ) as follows:

We require  $C_k^1 \cap E_1 = \emptyset$  ( $k = 1, \dots, 4$ ) unless  $a_1$  (respectively  $a_1^+$ ) is an extreme point of  $E_1$  in which case we choose  $C_1^1 \cup C_2^1 \subset E_1$  (respectively  $C_3^1 \cup C_4^1 \subset E_1$ ); we further require that if  $E$  is a (non-trivial) equivalence class not of type  $B$  or  $C$  (i.e. a class both of whose extrema are gaps) and  $E \cap C_k^1 \neq \emptyset$  for some  $k$ , then for that value of  $k$ ,  $E \subset C_k^1$ . It is not hard to see that  $C_k^1$  ( $k = 1, \dots, 4$ ) can be chosen to satisfy these restrictions.

*Step  $n$ :* Having chosen (with the restrictions detailed below) the open and closed sets  $C_k^i$  for  $k = 1, \dots, 4$  and  $i = 1, \dots, n-1$  and having “filled in” the jumps  $j_1, \dots, j_{n-1}$  by defining new orders  $<_1, \dots, <_{n-1}$  on  $X$  as in Theorem 3.1, we proceed to “fill in” the jump  $j_n$  as follows. Choose non-empty disjoint open and closed subsets  $C_1^n, C_2^n, C_3^n$  and  $C_4^n$  of  $X - j_n$  and define the order  $<_n$  as a modification of the order  $<_{n-1}$  as in Theorem 3.1. We can assume that  $C_1^n \cup C_2^n$  and  $C_3^n \cup C_4^n$  are  $<_{n-1}$ -convex and we impose the following further conditions on the choice of  $C_k^n$ .

(1) We require that  $C_k^n \cap \bigcup_{m=1}^n E_m = \emptyset$  unless  $a_n$  (respectively  $a_n^+$ ) is an extreme point of  $E_m$  for some  $m \in \{1, \dots, n\}$  in which case for this value (these two values) of  $m$  we choose  $C_1^n \cup C_2^n \subset E_m$  (respectively  $C_3^n \cup C_4^n \subset E_m$ ).

(2) If  $E$  is a non-trivial equivalence class both of whose extreme points are gaps and  $E \cap C_k^n \neq \emptyset$  for some  $k \in \{1, \dots, 4\}$  then for this value of  $k$  we require that  $E \subset C_k^n$ .

(3) If  $j_n \cap \bigcup_{i=1}^{n-1} \bigcup_{k=1}^4 C_k^i = \emptyset$  then there exists a  $<_{n-1}$ -convex neighbourhood  $U_n$  of  $a_n$  and  $a_n^+$  such that  $U_n \cap \bigcup_{i=1}^{n-1} \bigcup_{k=1}^4 C_k^i = \emptyset$  and we require that

$$\inf U_n <_{n-1} \inf(C_1^n \cup C_2^n) <_{n-1} \sup(C_3^n \cup C_4^n) <_{n-1} \sup U_n.$$

If  $j_n \cap \bigcup_{i=1}^{n-1} \bigcup_{k=1}^4 C_k^i \neq \emptyset$  then let  $i_0$  be the maximal value of  $i$  ( $\leq n-1$ ) such that  $j_n \cap C_k^i \neq \emptyset$  (for some  $k = 1, \dots, 4$ ) and let  $k_0$  be the unique value of  $k$  such that  $j_n \cap C_{k_0}^{i_0} \neq \emptyset$  and hence such that  $j_n \subset C_{k_0}^{i_0}$ . Furthermore, since  $C_{k_0}^{i_0}$  is the union of a

family of maximal disjoint open and closed  $<_{i_0-1}$ -convex sets,  $C_{k_0}^{i_0} = \bigcup \mathcal{A}_{k_0}^{i_0}$  say, it follows that  $j_n$  is contained in some unique element  $A_{k_0}^{i_0} \in \mathcal{A}_{k_0}^{i_0}$ . Let  $U_n$  be a  $<_{n-1}$ -convex neighbourhood of  $a_n$  and  $a_n^+$  such that  $U_n \subset A_{k_0}^{i_0}$  and  $U_n \cap \bigcup_{i=i_0+1}^{n-1} \bigcup_{k=1}^4 C_k^i = \emptyset$ . We require that

$$\inf U_n <_{n-1} \inf(C_1^n \cup C_2^n) <_{n-1} \sup(C_3^n \cup C_4^n) <_{n-1} \sup U_n$$

We have thus defined orders  $<_m$  on  $X$  for each  $m \in \omega$ . It is clear that the construction satisfies conditions (i) and (ii) of the Remark following Theorem 2.5, and so a limiting order  $<_\omega$  exists. We show that

- (a)  $<_\omega$  is a dense order,
- (b)  $<_\omega$  induces the same topology on  $X$  as  $<$ .

(a) Suppose that  $<_\omega$  is not a dense ordering of  $X$ , then there exists a jump  $\{x, x^+\}$  in  $(X, <_\omega)$ . From the definition of  $<_\omega$  we see that there is some  $n_0 \in \omega$  such that if  $n \geq n_0$  then  $x <_n x^+$ . Furthermore,  $\{x, x^+\} \notin J$  since it is clear that all the jumps of  $(X, <)$  are “filled in”. Let  $z$  be such that  $x <_{n_0} z <_{n_0} x^+$ . At some future step  $m > n_0$  we must have  $z \in C_k^m$  for some  $k = 1, \dots, 4$  (otherwise  $z$  would stay between  $x$  and  $x^+$ , which is a contradiction) and  $z$  is not  $<_n$ -between  $x$  and  $x^+$  for each  $n \geq m$ . Since  $C_k^m$  is the union of a family of maximal disjoint  $<_{m-1}$ -convex open and closed sets,  $C_k^m = \bigcup \mathcal{A}_k^m$  say, it follows that  $z$  lies in some unique element  $A_k^m \in \mathcal{A}_k^m$ . It is then not hard to see, since  $x <_m x^+$  but  $z$  is not  $<_m$ -between  $x$  and  $x^+$ , that we must have  $A_k^m \subset (x, x^+)_{m-1}$  (the open  $<_{m-1}$ -interval from  $x$  to  $x^+$ ); hence it follows that  $a_m >_{m-1} x^+$  (or alternatively  $a_m^+ <_{m-1} x$ ) and that  $A_k^m$  lies  $<_m$ -between  $a_m$  and  $a_m^+$ . We assume that  $a_m >_{m-1} x^+$  and then the situation may be illustrated as in Fig. 1. Since  $C_1^m \cup C_2^m$  is  $<_{m-1}$ -convex it follows that  $x^+ \in C_1^m \cup C_2^m$ . If  $x^+ >_m a_m$  (i.e. if  $x^+ \in C_2^m$  the set which is used to “fill in” the jump  $j_m$ ) then  $a_m$  will remain between  $x$  and  $x^+$  in all further steps, which is a contradiction. Thus  $x^+ \in C_1^m = \bigcup \mathcal{A}_1^m$ , say  $x^+ \in A_1^m \in \mathcal{A}_1^m$ . Now let  $y$  be any element of  $A_1^m \cap (x, x^+)_{m-1}$  (Fig. 2).

A repetition of the above process must now be employed in order, at some future step  $r > m$ , to remove  $y$  from the interval  $(x, x^+)_{r-1}$ . This may involve moving  $y$  into the jump  $j_r$  to the right of  $x^+$  or moving  $x^+$  into the jump  $j_r$  to the left of  $y$ ,

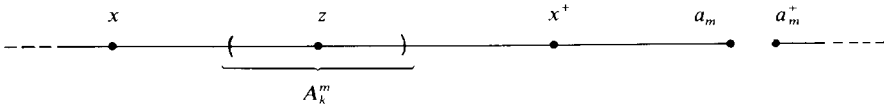


Fig. 1. Order is  $<_{m-1}$ ;  $A_k^m$  is one of the sets used to “fill in” jump  $j_m$ .

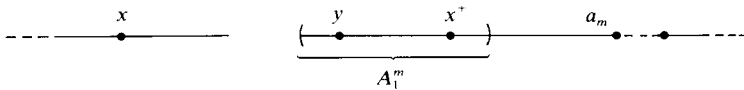


Fig. 2. Order is  $<_m$ .

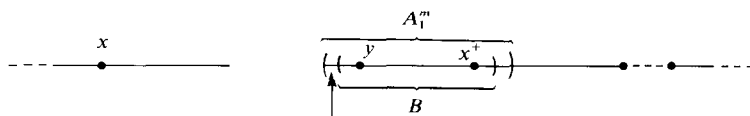
but in either case  $j_r \subset A_1^m$ , and condition (3) applies. Let  $B = \bigcup_{k=1}^4 C_k' \cup j_r$ . Then  $\inf B >_{r-1} \inf A_1^m$  and it is clear that if  $w \in A_1^m$  and  $w <_{r-1} \inf B$ , then  $w$  remains between  $x$  and  $x^+$  in all succeeding steps (Fig. 3).

(b) Let  $x \in X$ . It is clear from the construction that the topology induced on  $X$  by each of the orders  $<_n$  is identical to the original topology. Thus if  $x$  has a  $<$ -neighbourhood  $U$  which intersects at most a finite number of  $V_n = \bigcup_{k=1}^4 C_k' \cup j_n$ , it follows that after some finite number, say  $m_0$ , of steps, the order on  $U$  remains unchanged and so  $<_n = <_\omega$  on  $U$  if  $n \geq m_0$ . Hence the  $<_\omega$ -order topology and the  $<$ -order topology coincide on  $U$ .

Now suppose that every  $<$ -neighbourhood of  $x$  intersects an infinite collection of  $V_n$ . We will show that there is a  $<$ -neighbourhood base at  $x$  of  $<$ -convex sets which are also  $<_\omega$ -convex,  $<_\omega$ -neighbourhoods of  $x$ . There are two cases to consider:

(i)  $x \in \bigcap_{m \in I} V_m$  for some infinite set  $I \subset \omega$ . We note first that if  $E$  is an equivalence class both of whose extrema are gaps and  $x \in E$ , then  $E$  is a  $<$ -neighbourhood of  $x$  and the orders  $<$  and  $<_\omega$  coincide on  $E$  because of condition (2) above. If  $x$  is not an element of such an equivalence class then we claim that  $\{x\} = \bigcap_{m \in I} A^m$  where  $A^m$  is the unique element of  $\bigcup_{k=1}^4 \mathcal{A}_k^m$  to which  $x$  belongs for each  $m \in I$ . If not, then there exists  $y \neq x$  such that  $y \in A^m$  for each  $m \in I$ . If there is no jump  $<$ -between  $x$  and  $y$  then for some  $n_0$ ,  $x, y \in E_{n_0}$ . But then it follows from condition (1) of the construction that for all but at most one  $n \geq n_0$ ,  $x, y \notin V_n$  which is a contradiction. Thus there must be at least one jump,  $j_n$  say,  $<$ -between  $x$  and  $y$ . Since  $A^m$  is an  $<_{m-1}$ -interval it follows that  $j_n \in A^m$  for each  $m = 1, \dots, n-1$ , but at step  $n$  it is clearly impossible for both  $x$  and  $y$  to lie in  $A^n$ . Thus  $\{x\} = \bigcap_{m \in I} A^m$ . It is clear from the construction that each  $A^m$  is convex in each of the orders  $<_n$  and so it follows from Theorem 2.3 that  $A^m$  is  $<_\omega$ -convex for each  $m$ . Furthermore, since  $\{A^m: m \in I\}$  is a nested family, in order to show that it is a  $<_\omega$ -local base at  $x$ , we need only prove that each of the  $A_m$  is a  $<_\omega$ -neighbourhood of  $x$ ; however, this is easily seen to follow from condition (3).

(ii) Now suppose that  $x$  is an element of no more than a finite number of  $V_n$ . It is clear that without loss of generality we can assume that  $x \notin V_n$  for all  $n \in \omega$ , but  $x$  is an accumulation point of an infinite family  $\{V_n: n \in F\}$ , with say  $x < \inf V_n$ , for all  $n \in F$ . Let  $\{V_{k_n}: k_n \in F' \subset F\}$  be a disjoint subfamily with  $<$ -infimum  $x$ . Then  $\{[x, <-\inf V_{k_n}): n \in \omega\}$  is a family of  $<$ -convex sets which are also  $<_\omega$ -convex and each of whose  $<_\omega$ -infimum is  $x$ . This family may either be combined with a similar one to the left of  $x$  to give a (nested) local base of  $<$ - and  $<_\omega$ -convex sets at  $x$ , or



These points remain between  $x$  and  $x^+$  in all succeeding steps.

Fig. 3. Order is  $<_{r-1}$ .



with a nested family of intervals  $\{(x_\alpha, x]: \alpha < \gamma\}$  each contained in some non-trivial equivalence class to give a local base of  $<$ -convex and  $<_\omega$ -convex sets directed by  $\gamma \times \omega$ .  $\square$

We note that if  $X$  is a first countable LOTS in which the gaps are dense in the jumps ( $\bigcup J \subset \text{cl}_{DX}(DX - X)$ ) then  $X$  will have Property (\*). Thus we have the following corollaries (the second being well known).

**3.5. Corollary.** *Let  $(X, <)$  be a first countable LOTS with a countable set of jumps, whose set of equivalent classes is countable and in which the gaps are dense. There is a dense order which induces the topology of  $X$ .*

**3.6. Corollary.** *A second countable ordered space with a dense set of gaps is homeomorphic to a dense subset of the real line.*

Theorems 3.3 and 3.4 can be combined to give the following result which is inspired by the generalization in [4] of the principal theorem of [3]:

**3.7. Theorem.** *Let  $(X, <)$  be a LOTS with Property (\*) and whose set of jumps  $J$  is  $\sigma$ -discrete. If the set of non-trivial equivalence classes with an extreme point of Type  $C$  is also  $\sigma$ -discrete then there is a dense order which induces the topology of  $X$ .*

**Proof.** Let  $\mathcal{C}$  be the set of non-trivial equivalence classes with an extreme point of Type  $C$ . It is not hard to verify that both  $J$  and  $\mathcal{C}$  can be expressed as countable unions of nested collections of discrete families, say

$$J = \bigcup \{J_n : n \in \omega\} \quad \text{where } J_n \subset J_{n+1} \text{ for each } n$$

and

$$\mathcal{C} = \bigcup \{\mathcal{C}_n : n \in \omega\} \quad \text{where } \mathcal{C}_n \subset \mathcal{C}_{n+1} \text{ for each } n$$

The proof now follows that of Theorem 3.4, at step  $n$  we use Theorem 3.3 to “fill in” all the jumps of the discrete family  $J_n - \bigcup \{J_i : i = 1, \dots, n-1\}$ . We omit the laborious but by now familiar details.  $\square$

We recall that a metric space has a  $\sigma$ -discrete base and use this fact to prove the following:

**3.8. Lemma.** *If  $X$  is a metric linearly ordered topological space then both the set of jumps and the set of non-trivial  $\simeq$  equivalence classes are  $\sigma$ -discrete.*

**Proof.** We consider first the set of jumps  $J = \{j_\alpha : \alpha < \lambda\}$  say. Let  $\mathcal{B} = \bigcup_{n \in \omega} \mathcal{B}_n$  be a  $\sigma$ -discrete base for the space  $X$ . For each  $j_\alpha \in J$ ,  $j_\alpha = \{a_\alpha, a_\alpha^+\}$  there exist  $U_\alpha, V_\alpha \in \mathcal{B}$  such that

$$a_\alpha \in U_\alpha \subset (\leftarrow, a_\alpha] \quad \text{and} \quad a_\alpha^+ \in V_\alpha \subset [a_\alpha^+, \rightarrow)$$

Let  $\mathcal{U} = \{U_\alpha, V_\alpha : \alpha \in \lambda\} \subset \mathcal{B}$ . Clearly  $\mathcal{U}$  is  $\sigma$ -discrete, say  $\mathcal{U} = \bigcup_{n \in \omega} \mathcal{U}_n$  where  $\mathcal{U}_n$  is a discrete family for each  $n$ . Define  $J_{n,m} = \{j_\alpha \in J : U_\alpha \in \mathcal{U}_n, V_\alpha \in \mathcal{U}_m\}$ , then it is clear that  $J = \bigcup_{n,m} J_{n,m}$  and each  $J_{n,m}$  is discrete since  $\mathcal{U}_n$  and  $\mathcal{U}_m$  are discrete.

Now let  $\mathcal{A}$  be the set of non-trivial  $\approx$  equivalence classes.  $\mathcal{A}$  is a family of intervals with non-empty interior and since each two elements of  $\mathcal{A}$  have at least one jump between them, it follows that no point of  $X$  is in the closure of two elements of  $\mathcal{A}$ . Suppose  $\mathcal{A} = \{A_\alpha : \alpha < \eta\}$ , then for each  $\alpha < \eta$  we can choose  $U_\alpha \in \mathcal{B}$  such that  $U_\alpha \subset A_\alpha$ . Let  $\mathcal{U} = \{U_\alpha : \alpha < \eta\} \subset \mathcal{B}$ .  $\mathcal{U}$  is clearly  $\sigma$ -discrete,

$$\mathcal{U} = \bigcup_{n \in \omega} \mathcal{U}_n \text{ say.}$$

Let  $\mathcal{A}_n = \{A_\alpha \in \mathcal{A} : U_\alpha \in \mathcal{U}_n\}$

Clearly  $\mathcal{A} = \bigcup_{n \in \omega} \mathcal{A}_n$  and it is not hard to see that if  $\mathcal{A}_n$  were not discrete for some  $n$ , then  $\mathcal{U}_n$  would not be discrete either.  $\square$

**3.9. Corollary.** *Every metric LOTS with Property (\*) has a dense order which induces the topology.*

The proof of the following theorem is now straightforward and will be left to the reader.

**3.10. Theorem.** *Let  $(X, <)$  be a metrizable LOTS whose set of jumps is  $J$  and whose set of extreme points is  $C$ .  $X$  is densely orderable and hence has a connected ordered compactification if and only if*

- (1)  *$X$  has no proper subsets which are compact and open, and*
- (2) *There are at most two points of  $C \cup (\bigcup J)$  which are not in the closure of  $DX - X$ .*

It is easy to see that conditions (1) and (2) in Theorem 3.10 are equivalent to the following:

There are at most two points of  $C \cup (\bigcup J)$  which are not in the closure of  $DX - X$  and furthermore if two such points exist then they are not in the same component of  $X$  if  $X$  is disconnected.

It was shown in [1] that every strongly zero-dimensional metric space is orderable. Hence we have the following.

**3.11. Theorem.** *If  $X$  is a strongly zero-dimensional metric space with no compact open subsets then  $X$  is densely orderable and hence has a connected compactification.*

Property (\*) and the inequality  $j \leq d$  are necessary conditions for a LOTS to be densely orderable. While we believe that they are probably not sufficient conditions, we know of no counterexample.

We note that metric LOTS which do not have Property (\*) may have connected compactifications without having connected orderable compactifications;  $[0, 1) \cup (1, 2] \cup [3, 4)$  with the relative topology of the reals is such a space.

## References

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